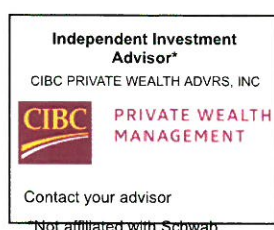


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Anthony J. Lauci
Defined Benefit Plan
Transactions 2019

Transactions From 01/01/2019 To 12/31/2019

Date ▼	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amount
12/31/2019	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,557.18
12/30/2019	Cash Dividend		AWIIX	CIBC ATLAS INCM OPPTY FD INST				\$1,616.95
12/30/2019	Cash Dividend		HHDFX	HAMLIN HIGH DIV EQTY INSTL				\$1,614.99
12/30/2019	Reinvest Dividend		AWEIX	CIBC ATLAS DISCIPLINED EQTY FD INST				\$5,234.46
12/30/2019	Reinvest Shares		AWEIX	CIBC ATLAS DISCIPLINED EQTY FD INST	231	\$22.66		-\$5,234.46
12/20/2019	Reinvest Shares		HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I	30.575	\$11.57		-\$353.75
12/20/2019	Reinvest Shares		HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I	250.945	\$11.57		-\$2,903.43
12/20/2019	Reinvest Shares		HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I	21.373	\$11.57		-\$247.29
12/20/2019	Long Term Cap Gain Reinvest		HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I				\$353.75
12/20/2019	Reinvest Dividend		HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I				\$2,903.43
12/20/2019	Short Term Cap Gain Reinvest		HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I				\$247.29
12/19/2019	Long Term Cap Gain Reinvest		AWEIX	CIBC ATLAS DISCIPLINED EQTY FD INST				\$8,037.19
12/19/2019	Reinvest Dividend		FLMVX	JPMORGAN MID CAP VALUE L				\$2,574.68
12/19/2019	Long Term Cap Gain Reinvest		EGFIX	EDGEWOOD GROWTH INSTL				\$402.67

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23,650.44 -592.05 (-2.44%)

Date	Description	Symbol	Fund Name	Quantity	Price	Amount
12/19/2019	Reinvest Shares	SPY	S&P 500 INDEX FUND	8,560.73	-89.41 (-1.03%)	-\$2,574.68
12/18/2019	Reinvest Shares	SPY	S&P 500 INDEX FUND	2,823.16	-51.40 (-1.79%)	-\$8,037.19
12/18/2019	Reinvest Shares	RUSSEL	RUSSELL 2000 INDEX FUND	1,213.35	-15.75 (-1.28%)	-\$19,017.75
12/19/2019	Reinvest Shares	EGFIX	EDGEWOOD GROWTH INSTL	10.508	\$38.32	-\$402.67
12/18/2019	Long Term Cap Gain Reinvest	IVIQX	IVA INTL FD CL I			\$789.81
12/18/2019	Reinvest Dividend	IVIQX	IVA INTL FD CL I			\$1,031.57
12/18/2019	Short Term Cap Gain Reinvest	IVIQX	IVA INTL FD CL I			\$179.38
12/18/2019	Reinvest Shares	IVIQX	IVA INTL FD CL I	49.956	\$15.81	-\$789.81
12/18/2019	Reinvest Shares	IVIQX	IVA INTL FD CL I	65.248	\$15.81	-\$1,031.57
12/18/2019	Reinvest Shares	IVIQX	IVA INTL FD CL I	11.346	\$15.81	-\$179.38
12/17/2019	Cash Dividend	MIPTX	MATTHEWS PACIFIC TIGER FD INSTL			\$1,072.99
12/17/2019	Long Term Cap Gain Reinvest	MIPTX	MATTHEWS PACIFIC TIGER FD INSTL			\$4,632.85
12/17/2019	Reinvest Shares	MIPTX	MATTHEWS PACIFIC TIGER FD INSTL	162.842	\$28.45	-\$4,632.85
12/16/2019 as of 12/15/2019	Bank Interest					
12/12/2019	Cash Dividend	TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND			\$776.18
12/12/2019	Long Term Cap Gain Reinvest	FLMVX	JPMORGAN MID CAP VALUE L			\$7,427.04
12/12/2019	Short Term Cap Gain Reinvest	TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND			\$43.51
12/12/2019	Long Term Cap Gain Reinvest	TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND			\$93.98
12/12/2019	Reinvest Shares	FLMVX	JPMORGAN MID CAP VALUE L	187.599	\$39.59	-\$7,427.04
12/12/2019	Reinvest Shares	TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND	3.393	\$27.70	-\$93.98
12/12/2019	Reinvest Shares	TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND	1.571	\$27.70	-\$43.51
12/10/2019	Long Term Cap Gain Reinvest	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I			\$1,229.94
12/10/2019	Reinvest Dividend	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I			\$307.83
12/10/2019	Reinvest Shares	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	66.233	\$18.57	-\$1,229.94
12/10/2019	Reinvest Shares	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	16.577	\$18.57	-\$307.83
11/29/2019	Cash Dividend	PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST			\$1,634.66
11/18/2019 as of 11/15/2019	Bank Interest					
11/12/2019	Advisor Fee					
11/07/2019	Journal					

11/06/2019	Sell	NASDAQ (https://client.schwab.com/secure/cc/nn/gw/wsod?path=/retail/research/markets/chart/&symbol=\$IXIC)	CIBC ATLAS DISCIPLINED	4,541,326	\$22.02	\$15.00	\$99,985.00
S&P 500 (https://client.schwab.com/secure/cc/nn/gw/wsod?path=/retail/research/markets/chart/&symbol=\$SPX) 2,823.16 -51.40 (-1.79%)							
11/06/2019	Sell	NASDAQ (https://client.schwab.com/secure/cc/nn/gw/wsod?path=/retail/research/markets/chart/&symbol=\$RUT)	PIMCO INVESTMENT GRADE CREDIT BD INST	1,176	\$10.88	\$15.00	\$99,985.00
Russell 2000 (https://client.schwab.com/secure/cc/nn/gw/wsod?path=/retail/research/markets/chart/&symbol=\$RUT) 1,213.35 -15.75 (-1.28%)							
10/31/2019	Cash Dividend	PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,834.93
10/16/2019 as of 10/15/2019	Bank Interest						
09/30/2019	Cash Dividend	PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,696.65
09/30/2019	Reinvest Dividend	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I				\$398.56
09/30/2019	Reinvest Shares	EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	21.708	\$18.36		-\$398.56
09/27/2019	Cash Dividend	AWIIX	CIBC ATLAS INCM OPPTY FD INST				\$1,096.76

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Transactions From 01/01/2019 To 12/31/2019

Date ▼	Action	Details	Symbol	Description*	Quantity	Price	Fees & Comm	Amount
09/19/2019	Bank Interest							
09/16/2019 as of 09/15/2019	Bank Interest							
08/30/2019	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,956.73
08/16/2019 as of 08/15/2019	Bank Interest							
08/12/2019	Advisor Fee							
07/31/2019	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,837.94
07/16/2019 as of 07/15/2019	Bank Interest							
06/28/2019	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,759.69
06/28/2019	Cash Dividend		HHDFX	HAMLIN HIGH DIV EQTY INSTL				\$2,040.13
06/28/2019	Reinvest Dividend		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I				\$854.72
06/28/2019	Reinvest Shares		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	47.04	\$18.17		-\$854.72
06/27/2019	Cash Dividend		AWIIX	CIBC ATLAS INCM OPPTY FD INST				\$1,445.98
06/17/2019 as of 06/15/2019	Bank Interest							
05/31/2019	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,998.92

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23,650.44 -592.05 (-2.44%)

05/16/2019 as of	Bank Interest			NASDAQ (https://client.schwab.com/secure/cc/nn/gw/wsod?path=/retail/research/markets/chart&symbol=\$DJI)	8,560.73	-89.41	(-1.03%)	
05/15/2019								
05/15/2019				S&P 500 (https://client.schwab.com/secure/cc/nn/gw/wsod?path=/retail/research/markets/chart&symbol=\$SP500)	2,823.16	-51.40	(-1.79%)	
05/15/2019				Russell 2000 (https://client.schwab.com/secure/cc/nn/gw/wsod?path=/retail/research/markets/chart&symbol=\$RUS2000)	1,213.35	-15.75	(-1.28%)	
04/30/2019	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,852.18
04/24/2019	Sell	Trade Details	HHDFX	HAMLIN HIGH DIV EQTY INSTL	8,928.571	\$22.40	\$15.00	\$199,985.00
04/24/2019	Sell	Trade Details	IVIQX	IVA INTL FD CL I	2,824.859	\$15.93	\$15.00	\$44,985.00
04/24/2019	Buy	Trade Details	AWIIX	CIBC ATLAS INCM OPPTY FD INST	8,340.284	\$11.99	\$15.00	-\$100,015.00
04/24/2019	Sell	Trade Details	TBGVX	TWEEDY BROWNE GLOBAL VALUE FUND	1,260.353	\$27.77	\$15.00	\$34,985.00
04/24/2019	Buy	Trade Details	EGFIX	EDGEWOOD GROWTH INSTL	1,445.923	\$34.58	\$15.00	-\$50,015.00
04/24/2019	Buy	Trade Details	HIEMX	VIRTUS VONTOBEL EMRG MKTS OPPTY FD I	8,826.125	\$11.33	\$15.00	-\$100,015.00
04/24/2019	Buy	Trade Details	MIPTX	MATTHEWS PACIFIC TIGER FD INSTL	3,456.619	\$28.93	\$15.00	-\$100,015.00
04/16/2019 as of 04/15/2019	Bank Interest							
04/15/2019	Journal							
03/29/2019	Reinvest Dividend		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I				\$672.56
03/29/2019	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,894.44
03/29/2019	Reinvest Shares		EPSYX	MAINSTAY EPOCH GLOBAL EQTY YIELD I	37.056	\$18.15		-\$672.56
03/28/2019	Cash Dividend		AWIIX	CIBC ATLAS INCM OPPTY FD INST				\$656.87
03/18/2019 as of 03/15/2019	Bank Interest							
02/28/2019	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,771.06
02/19/2019 as of 02/15/2019	Bank Interest							
02/11/2019	Advisor Fee							
01/31/2019	Cash Dividend		PIGIX	PIMCO INVESTMENT GRADE CREDIT BD INST				\$1,723.60
01/16/2019 as of 01/15/2019	Bank Interest							

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