

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

Hahn, Stephen M

Commissioner, Food and Drug Administration, Department of Health & Human Services

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.
/s/ Hahn, Stephen M [electronically signed on 03/03/2020 by Hahn, Stephen M in Integrity.gov] - Filer received a 90 day filing extension.

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Hall, Randall, Certifying Official [electronically signed on 03/06/2020 by Hall, Randall in Integrity.gov]

Other review conducted by
/s/ Hall, Randall, Ethics Official [electronically signed on 03/06/2020 by Hall, Randall in Integrity.gov]

U.S. Office of Government Ethics Certification
/s/ Granahan, Megan, Certifying Official [electronically signed on 04/03/2020 by Granahan, Megan in Integrity.gov]

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	Accor SA (ACCY)	Sale	12/19/2019	No	\$1,001 - \$15,000
2	ACS Actividades de Construccion y Servicios SA (ACSAY)	Sale	12/19/2019	No	\$1,001 - \$15,000
3	Activision Blizzard, Inc. (ATVI)	Sale	12/19/2019	No	\$1,001 - \$15,000
4	AerCap Holdings NV (AER)	Sale	12/19/2019	No	\$1,001 - \$15,000
5	Agilent Technologies, Inc. (A)	Sale	12/19/2019	No	\$1,001 - \$15,000
6	AIA Group Limited (AAGIY)	Sale	12/19/2019	No	\$1,001 - \$15,000
7	Air Lease Corporation (AL)	Sale	12/19/2019	No	\$1,001 - \$15,000
8	Airbus SE (EADSY)	Sale	12/19/2019	No	\$1,001 - \$15,000
9	Akamai Technologies Inc (AKAM)	Sale	12/19/2019	No	\$1,001 - \$15,000
10	Albemarle Corporation (ALB)	Sale	12/19/2019	No	\$1,001 - \$15,000
11	Alibaba Group Holding Limited (BABA)	Sale	12/19/2019	No	\$15,001 - \$50,000
12	Allegheny Technologies, Inc. (ATI)	Sale	12/19/2019	No	\$1,001 - \$15,000
13	Alphabet, Inc. (GOOG)	Sale	12/19/2019	No	\$1,001 - \$15,000
14	Alphabet, Inc. (GOOGL)	Sale	12/19/2019	No	\$15,001 - \$50,000
15	Amazon.com, Inc. (AMZN)	Sale	12/19/2019	No	\$15,001 - \$50,000
16	American Express Co. (AXP)	Sale	12/19/2019	No	\$1,001 - \$15,000
17	American International Group, Inc. (AIG)	Sale	12/19/2019	No	\$1,001 - \$15,000
18	American Tower Corporation (AMT)	Sale	12/19/2019	No	\$1,001 - \$15,000
19	Americold Realty Trust (COLD)	Sale	12/19/2019	No	\$1,001 - \$15,000
20	AmerisourceBergen Corporation (ABC)	Sale	12/19/2019	No	\$1,001 - \$15,000
21	ANSYS, Inc. (ANSS)	Sale	12/19/2019	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
22	Apergy Corporation (APY)	Sale	12/19/2019	No	\$1,001 - \$15,000
23	Apple, Inc. (AAPL)	Sale	12/19/2019	No	\$1,001 - \$15,000
24	Applied Materials, Inc. (AMAT)	Sale	12/19/2019	No	\$1,001 - \$15,000
25	Archer Daniels Midland Co. (ADM)	Sale	12/19/2019	No	\$1,001 - \$15,000
26	Arrow Electronics, Inc. (ARW)	Sale	12/19/2019	No	\$1,001 - \$15,000
27	ASML Holding NV (ASML)	Sale	12/19/2019	No	\$1,001 - \$15,000
28	Autodesk, Inc. (ADSK)	Sale	12/19/2019	No	\$15,001 - \$50,000
29	Automatic Data Processing, Inc. (ADP)	Sale	12/19/2019	No	\$1,001 - \$15,000
30	AXA SA (AXAHY)	Sale	12/19/2019	No	\$1,001 - \$15,000
31	Axalta Coating Systems Limited (AXTA)	Sale	12/19/2019	No	\$1,001 - \$15,000
32	Badger Meter, Inc. (BMI)	Sale	12/19/2019	No	\$1,001 - \$15,000
33	BAE Systems PLC (BAESY)	Sale	12/19/2019	No	\$1,001 - \$15,000
34	Baillie Gifford Emerging Markets Equities Fund, Class K (BGKEX)	Sale	12/19/2019	No	\$1,001 - \$15,000
35	Bank of America Corporation, bonds	Sale	12/19/2019	No	\$15,001 - \$50,000
36	Bank of Hawaii Corporation (BOH)	Sale	12/19/2019	No	\$1,001 - \$15,000
37	BASF SE (BASFY)	Sale	12/19/2019	No	\$1,001 - \$15,000
38	Berkshire Hathaway, Inc. (BRK/B)	Sale	12/19/2019	No	\$1,001 - \$15,000
39	BHP Group PLC (BBL)	Sale	12/19/2019	No	\$1,001 - \$15,000
40	Black Knight, Inc. (BKI)	Sale	12/19/2019	No	\$1,001 - \$15,000
41	Booking Holdings, Inc. (BKNG)	Sale	12/19/2019	No	\$1,001 - \$15,000
42	BorgWarner, Inc. (BWA)	Sale	12/19/2019	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
43	Boston Properties, Inc. (BXP)	Sale	12/19/2019	No	\$1,001 - \$15,000
44	Brooks Automation, Inc. (BRKS)	Sale	12/19/2019	No	\$1,001 - \$15,000
45	Cabot Corporation (CBT)	Sale	12/19/2019	No	\$1,001 - \$15,000
46	Capgemini SE (CGEMY)	Sale	12/19/2019	No	\$1,001 - \$15,000
47	Carlsberg A/S (CABGY)	Sale	12/19/2019	No	\$1,001 - \$15,000
48	CBRE Group, Inc. (CBRE)	Sale	12/19/2019	No	\$1,001 - \$15,000
49	CDW Corp/DE (CDW)	Sale	12/19/2019	No	\$1,001 - \$15,000
50	Cerner Corporation (CERN)	Sale	12/19/2019	No	\$1,001 - \$15,000
51	Charles Schwab Corporation (SCHW)	Sale	12/19/2019	No	\$1,001 - \$15,000
52	Charter Communications, Inc. (CHTR)	Sale	12/19/2019	No	\$1,001 - \$15,000
53	Cheesecake Factory, Inc. (CAKE)	Sale	12/19/2019	No	\$1,001 - \$15,000
54	Chevron Corporation (CVX)	Sale	12/19/2019	No	\$1,001 - \$15,000
55	Chevron Corporation, bonds	Sale	12/19/2019	No	\$15,001 - \$50,000
56	Cimarex Energy Co. (XEC)	Sale	12/19/2019	No	\$1,001 - \$15,000
57	Cinemark Holdings, Inc. (CNK)	Sale	12/19/2019	No	\$1,001 - \$15,000
58	Cisco Systems, Inc. (CSCO)	Sale	12/19/2019	No	\$1,001 - \$15,000
59	Citigroup, Inc. (C)	Sale	12/19/2019	No	\$15,001 - \$50,000
60	Coca-Cola Co. (KO)	Sale	12/19/2019	No	\$1,001 - \$15,000
61	Coca-Cola Co., bonds	Sale	12/19/2019	No	\$15,001 - \$50,000
62	Colgate-Palmolive Co. (CL)	Sale	12/19/2019	No	\$1,001 - \$15,000
63	Comcast Corporation (CMCSA)	Sale	12/19/2019	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
64	Continental Resources Inc/OK (CLR)	Sale	12/19/2019	No	\$1,001 - \$15,000
65	Core Laboratories NV (CLB)	Sale	12/19/2019	No	\$1,001 - \$15,000
66	CoreLogic Inc/United States (CLGX)	Sale	12/19/2019	No	\$1,001 - \$15,000
67	CSX Corporation (CSX)	Sale	12/19/2019	No	\$1,001 - \$15,000
68	Cummins, Inc. (CMI)	Sale	12/19/2019	No	\$1,001 - \$15,000
69	CVS Health Corporation (CVS)	Sale	12/19/2019	No	\$1,001 - \$15,000
70	Danone SA (DANOY)	Sale	12/19/2019	No	\$15,001 - \$50,000
71	Darden Restaurants, Inc. (DRI)	Sale	12/19/2019	No	\$1,001 - \$15,000
72	DBS Group Holdings Limited (DBSDY)	Sale	12/19/2019	No	\$1,001 - \$15,000
73	Deere & Co. (DE)	Sale	12/19/2019	No	\$1,001 - \$15,000
74	Deutsche Boerse AG (DBOEY)	Sale	12/19/2019	No	\$1,001 - \$15,000
75	Deutsche Post AG (DPSGY)	Sale	12/19/2019	No	\$1,001 - \$15,000
76	Devon Energy Corporation (DVN)	Sale	12/19/2019	No	\$1,001 - \$15,000
77	Discover Financial Services, Inc. (DFS)	Sale	12/19/2019	No	\$1,001 - \$15,000
78	DNB ASA (DNHBY)	Sale	12/19/2019	No	\$1,001 - \$15,000
79	Dover Corporation (DOV)	Sale	12/19/2019	No	\$1,001 - \$15,000
80	D.R. Horton, Inc. (DHI)	Sale	12/19/2019	No	\$1,001 - \$15,000
81	E.ON SE (EONGY)	Sale	12/19/2019	No	\$1,001 - \$15,000
82	Eastman Chemical Co. (EMN)	Sale	12/19/2019	No	\$1,001 - \$15,000
83	Eaton Vance Corporation (EV)	Sale	12/19/2019	No	\$1,001 - \$15,000
84	Engie SA (ENGIY)	Sale	12/19/2019	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
85	EssilorLuxottica SA (ESLOY)	Sale	12/19/2019	No	\$1,001 - \$15,000
86	Expeditors International of Washington, Inc. (EXPD)	Sale	12/19/2019	No	\$1,001 - \$15,000
87	Facebook, Inc. (FB)	Sale	12/19/2019	No	\$15,001 - \$50,000
88	FactSet Research Systems, Inc. (FDS)	Sale	12/19/2019	No	\$1,001 - \$15,000
89	FANUC Corp (FANUY)	Sale	12/19/2019	No	\$1,001 - \$15,000
90	Fidelity National Information Services, Inc. (FIS)	Sale	12/19/2019	No	\$1,001 - \$15,000
91	First Financial Bankshares, Inc. (FFIN)	Sale	12/19/2019	No	\$1,001 - \$15,000
92	First Republic Bank/CA (FRC)	Sale	12/19/2019	No	\$1,001 - \$15,000
93	GATX Corporation (GATX)	Sale	12/19/2019	No	\$1,001 - \$15,000
94	General Dynamics Corporation (GD)	Sale	12/19/2019	No	\$1,001 - \$15,000
95	General Motors Co. (GM)	Sale	12/19/2019	No	\$1,001 - \$15,000
96	Global Payments, Inc. (GPN)	Sale	12/19/2019	No	\$1,001 - \$15,000
97	Goldman Sachs Financial Square Government Fund, Institutional Shares (FGTXX)	Sale	12/20/2019	No	\$15,001 - \$50,000
98	Goldman Sachs Group, Inc., bonds	Sale	12/19/2019	No	\$15,001 - \$50,000
99	Graco, Inc. (GGG)	Sale	12/19/2019	No	\$1,001 - \$15,000
100	Hanesbrands, Inc. (HBI)	Sale	12/19/2019	No	\$1,001 - \$15,000
101	Hartford Financial Services Group, Inc. (HIG)	Sale	12/19/2019	No	\$1,001 - \$15,000
102	Hitachi Limited (HTHIY)	Sale	12/19/2019	No	\$1,001 - \$15,000
103	Honeywell International, Inc. (HON)	Sale	12/19/2019	No	\$1,001 - \$15,000
104	Houlihan Lokey, Inc. (HLI)	Sale	12/19/2019	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
105	HSBC Holdings PLC (HSBC)	Sale	12/19/2019	No	\$1,001 - \$15,000
106	Industria de Diseno Textil SA (IDEXY)	Sale	12/19/2019	No	\$1,001 - \$15,000
107	Intercontinental Exchange, Inc. (ICE)	Sale	12/19/2019	No	\$1,001 - \$15,000
108	Intuit, Inc. (INTU)	Sale	12/19/2019	No	\$1,001 - \$15,000
109	Jack Henry & Associates, Inc. (JKHY)	Sale	12/19/2019	No	\$1,001 - \$15,000
110	Jones Lang LaSalle, Inc. (JLL) (HFF, Inc.)	Sale	12/19/2019	No	\$1,001 - \$15,000
111	JPMorgan Chase & Co. (JPM)	Sale	12/19/2019	No	\$1,001 - \$15,000
112	JPMorgan Chase & Co., bonds	Sale	12/19/2019	No	\$15,001 - \$50,000
113	Julius Baer Group Limited (JBAXY)	Sale	12/19/2019	No	\$1,001 - \$15,000
114	KeyCorp (KEY)	Sale	12/19/2019	No	\$1,001 - \$15,000
115	Keysight Technologies, Inc. (KEYS)	Sale	12/19/2019	No	\$1,001 - \$15,000
116	Kimberly-Clark Corporation (KMB)	Sale	12/19/2019	No	\$1,001 - \$15,000
117	KKR & Co., Inc. (KKR)	Sale	12/19/2019	No	\$1,001 - \$15,000
118	Koninklijke DSM NV (RDSMY)	Sale	12/19/2019	No	\$1,001 - \$15,000
119	Landstar System, Inc. (LSTR)	Sale	12/19/2019	No	\$1,001 - \$15,000
120	Lincoln Electric Holdings, Inc. (LECO)	Sale	12/19/2019	No	\$1,001 - \$15,000
121	Linde PLC (LIN)	Sale	12/19/2019	No	\$1,001 - \$15,000
122	Lloyds Banking Group, PLC (LYG)	Sale	12/19/2019	No	\$1,001 - \$15,000
123	Loews Corporation (L)	Sale	12/19/2019	No	\$1,001 - \$15,000
124	Marsh & McLennan Companies, Inc. (MMC)	Sale	12/19/2019	No	\$1,001 - \$15,000
125	Masco Corporation (MAS)	Sale	12/19/2019	No	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
126	MetLife, Inc. (MET)		Sale	12/19/2019	No	\$1,001 - \$15,000
127	MGM Growth Properties, LLC (MGP)		Sale	12/19/2019	No	\$1,001 - \$15,000
128	Microsoft Corporation (MSFT)		Sale	12/19/2019	No	\$15,001 - \$50,000
129	Molson Coors Brewing Co. (TAP)	See Endnote	Sale	12/19/2019	No	\$1,001 - \$15,000
130	Monster Beverage Corporation (MNST)		Sale	12/19/2019	No	\$15,001 - \$50,000
131	Morgan Stanley (MS)		Sale	12/19/2019	No	\$1,001 - \$15,000
132	Murata Manufacturing Co. Limited (MRAAY)		Sale	12/19/2019	No	\$1,001 - \$15,000
133	Naspers Limited (NPSNY)		Sale	12/19/2019	No	\$1,001 - \$15,000
134	National Beverage Corporation (FIZZ)		Sale	12/19/2019	No	\$1,001 - \$15,000
135	Nordea BK ABP Sponsored ADS (NRDBY)		Sale	12/19/2019	No	\$1,001 - \$15,000
136	Nutrien Limited (NTR)		Sale	12/19/2019	No	\$1,001 - \$15,000
137	NVIDIA Corporation (NVDA)		Sale	12/19/2019	No	\$1,001 - \$15,000
138	NVR, Inc. (NVR)		Sale	12/19/2019	No	\$1,001 - \$15,000
139	NXP Semiconductors NV (NXPI)		Sale	12/19/2019	No	\$1,001 - \$15,000
140	Oracle Corporation (ORCL)		Sale	12/19/2019	No	\$15,001 - \$50,000
141	Oracle Corporation, bonds		Sale	12/19/2019	No	\$15,001 - \$50,000
142	O'Reilly Automotive, Inc. (ORLY)		Sale	12/19/2019	No	\$1,001 - \$15,000
143	Packaging Corporation of America (PKG)		Sale	12/19/2019	No	\$1,001 - \$15,000
144	Parker-Hannifin Corporation (PH)		Sale	12/19/2019	No	\$1,001 - \$15,000
145	PepsiCo, Inc. (PEP)		Sale	12/19/2019	No	\$1,001 - \$15,000
146	Primerica, Inc. (PRI)		Sale	12/19/2019	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
147	Procter & Gamble Co. (PG)	Sale	12/19/2019	No	\$15,001 - \$50,000
148	Progressive Corporation (PGR)	Sale	12/19/2019	No	\$1,001 - \$15,000
149	Prosus NV (PROSY)	Sale	12/19/2019	No	\$1,001 - \$15,000
150	Qualcomm, Inc. (QCOM)	Sale	12/19/2019	No	\$15,001 - \$50,000
151	Raymond James Financial, Inc. (RJF)	Sale	12/19/2019	No	\$1,001 - \$15,000
152	RBC Bearings, Inc. (ROLL)	Sale	12/19/2019	No	\$1,001 - \$15,000
153	Reinsurance Group of America, Inc. (RGA)	Sale	12/19/2019	No	\$1,001 - \$15,000
154	RenaissanceRe Holdings Limited (RNR)	Sale	12/19/2019	No	\$1,001 - \$15,000
155	Repsol SA (REPY)	Sale	12/19/2019	No	\$1,001 - \$15,000
156	Republic Services, Inc. (RSG)	Sale	12/19/2019	No	\$1,001 - \$15,000
157	RLI Corporation (RLI)	Sale	12/19/2019	No	\$1,001 - \$15,000
158	Rolls-Royce Holdings PLC (RYCEY)	Sale	12/19/2019	No	\$1,001 - \$15,000
159	Royal Dutch Shell PLC (RDS/A)	Sale	12/19/2019	No	\$1,001 - \$15,000
160	RWE AG (RWEQY)	Sale	12/19/2019	No	\$1,001 - \$15,000
161	SAP SE (SAP)	Sale	12/19/2019	No	\$1,001 - \$15,000
162	Schlumberger Limited (SLB)	Sale	12/19/2019	No	\$1,001 - \$15,000
163	Scotts Miracle-Gro Co. (SMG)	Sale	12/19/2019	No	\$1,001 - \$15,000
164	Sealed Air Corporation (SEE)	Sale	12/19/2019	No	\$1,001 - \$15,000
165	SECOM Co. Limited (SOMLY)	Sale	12/19/2019	No	\$1,001 - \$15,000
166	SEI Investments Co. (SEIC)	Sale	12/19/2019	No	\$1,001 - \$15,000
167	Sensata Technologies Holding, PLC (ST)	Sale	12/19/2019	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
168	Simon Property Group, LP, bonds	Sale	12/19/2019	No	\$15,001 - \$50,000
169	SiteOne Landscape Supply, Inc. (SITE)	Sale	12/19/2019	No	\$1,001 - \$15,000
170	Snap-on, Inc. (SNA)	Sale	12/19/2019	No	\$1,001 - \$15,000
171	SPDR S&P Dividend ETF (SDY)	Sale	12/19/2019	No	\$15,001 - \$50,000
172	Starbucks Corporation (SBUX)	Sale	12/19/2019	No	\$15,001 - \$50,000
173	Stericycle, Inc. (SRCL)	Sale	12/19/2019	No	\$1,001 - \$15,000
174	Stifel Financial Corporation (SF)	Sale	12/19/2019	No	\$1,001 - \$15,000
175	Synopsys, Inc. (SNPS)	Sale	12/19/2019	No	\$1,001 - \$15,000
176	Target Corporation, bonds	Sale	12/19/2019	No	\$15,001 - \$50,000
177	TEGNA, Inc. (TGNA)	Sale	12/19/2019	No	\$1,001 - \$15,000
178	Tesco Plc (TSCDY)	Sale	12/19/2019	No	\$1,001 - \$15,000
179	Texas Instruments, Inc. (TXN)	Sale	12/19/2019	No	\$1,001 - \$15,000
180	Thermo Fisher Scientific, Inc. (TMO)	Sale	12/19/2019	No	\$1,001 - \$15,000
181	Thor Industries, Inc. (THO)	Sale	12/19/2019	No	\$1,001 - \$15,000
182	TJX Companies, Inc. (TJX)	Sale	12/19/2019	No	\$15,001 - \$50,000
183	Toray Industries, Inc. (TRYIY)	Sale	12/19/2019	No	\$1,001 - \$15,000
184	Toyota Motor Credit Corporation, bonds	Sale	12/19/2019	No	\$15,001 - \$50,000
185	Truist Financial Corporation, bonds	Sale	12/19/2019	No	\$15,001 - \$50,000
186	TWDC Enterprises 18 Corp., bonds	Sale	12/19/2019	No	\$15,001 - \$50,000
187	UniFirst Corp/MA (UNF)	Sale	12/19/2019	No	\$1,001 - \$15,000
188	United Airlines Holdings, Inc. (UAL)	Sale	12/19/2019	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
189	United Technologies Corporation (UTX)	Sale	12/19/2019	No	\$1,001 - \$15,000
190	UnitedHealth Group, Inc., bonds	Sale	12/19/2019	No	\$15,001 - \$50,000
191	Vanguard Developed Markets Index Fund, Admiral Shares (VTMGX)	Sale	12/20/2019	No	\$1,001 - \$15,000
192	Visa, Inc. (V)	Sale	12/19/2019	No	\$15,001 - \$50,000
193	Walt Disney Co. (DIS)	Sale	12/19/2019	No	\$1,001 - \$15,000
194	Watsco, Inc. (WSO)	Sale	12/19/2019	No	\$1,001 - \$15,000
195	WD-40 Co. (WDFC)	Sale	12/19/2019	No	\$1,001 - \$15,000
196	WEC Energy Group, Inc. (WEC)	Sale	12/19/2019	No	\$1,001 - \$15,000
197	Wells Fargo & Co., bonds	Sale	12/19/2019	No	\$15,001 - \$50,000
198	Woodward, Inc. (WWD)	Sale	12/19/2019	No	\$1,001 - \$15,000
199	Xilinx, Inc. (XLNX)	Sale	12/19/2019	No	\$1,001 - \$15,000
200	Yum China Holdings, Inc. (YUMC)	Sale	12/19/2019	No	\$1,001 - \$15,000
201	Yum! Brands, Inc. (YUM)	Sale	12/19/2019	No	\$1,001 - \$15,000
202	Cisco Systems, Inc. (CSCO)	Sale	01/03/2020	No	\$1,001 - \$15,000
203	Motorola Solutions, Inc. (MSI)	Sale	01/03/2020	No	\$1,001 - \$15,000
204	Goldman Sachs Financial Square Government Fund, Institutional Shares (FGTXX)	Purchase	12/23/2019	No	\$15,001 - \$50,000
205	Vanguard Intermediate-Term Bond Index Fund, Admiral Shares (VBILX)	Purchase	12/20/2019	No	\$250,001 - \$500,000
206	Principal MidCap R6 Fund (PMAQX)	Purchase	12/20/2019	No	\$100,001 - \$250,000
207	iShares Russell 1000 Value ETF (IWD)	Purchase	12/19/2019	No	\$250,001 - \$500,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
208	iShares MSCI EAFE ETF (EFA)	Purchase	12/19/2019	No	\$100,001 - \$250,000
209	iShares Russell 2000 ETF (IWM)	Purchase	12/19/2019	No	\$100,001 - \$250,000
210	iShares Russell 1000 Growth ETF (IWF)	Purchase	12/19/2019	No	\$250,001 - \$500,000
211	Federated Hermes Institutional High Yield Bond Fund, Institutional Shares (FIHBX)	Purchase	12/19/2019	No	\$15,001 - \$50,000

Endnotes

PART	#	ENDNOTE
Transactions	129	In January 2020, the company changed its name from Molson Coors Brewing Company to Molson Coors Beverage Company.

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of

title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.
